ARCP and RITA Data Collection 2012 – Briefing Note 4

Introduction

This is a summary of the comments made by Deanery staff when submitting their data. Recommendations on the basis of the notes supplied are provided. We are grateful to those who took the time to record this supplementary information.

The notes are intended for those working directly on this project and may not be understood by those who are not.

Issues raised and assumptions made by Field

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
Surname			One deanery has queried whether their trainee record is more up to date than the GMC record (in the validation tool) post a trainee's marriage.		Deaneries should always check with trainees who request name changes with the deanery that they have also applied to change their name with the GMC. The process for doing so is here.
Period Start Date	Start of period that the assessment refers to	Deanery has noted that the period start date was assumed to be the date of last assessment within the same programme OR programme start date if new in	Some ARCPs did cover periods which did not meet the validation criteria (i.e. start of period covered was before 04/08/2010).	GMC to revise date validation rules.	

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		programme.	Clarity may be required on Period start dates and Inter-Deanery Transfers and trainees returning to work from maternity leave.		
Period End Date	End of period that the assessment refers to	Deanery has noted that the Period end date = recorded date OR assessment date if missing.	Some period end dates are more than 80 days post the review date and therefore the rule will require revision.	GMC to revise date validation rules.	
Level assessed	Grade of trainee at the point off assessment or at the point of the missed assessment		These rules fail validation for the following cases: Academic trainees who are run through A small number of trainees who were recruited to run through in 2007 Trainees on pilot GP programmes that go beyond ST3. Trainees recruited to VSPR grade.	GMC to include additional column in the validation tool to deal with grade exceptions.	
Military Trainee	At the time of the ARCP/RITA was the trainee a	Some deaneries assumed "No" if NTN was missing.			

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
	defence DPMD trainee				
Academic Trainee	At the time of the ARCP/RITA was the trainee an academic trainee	Some deaneries assumed "No" if NTN was missing.			
Remain on academic programme		ARCP recording does not appear to reflect the NIHR guidelines. Some deaneries assumed "Yes" ·	One deanery noted that there was no equivalent field in Intrepid and that this had to be calculated from other indicators in the records and entered manually. The GMC are aware of trainees who have dropped the academic component. So one cannot make this assumption.		The Academy of Medical Sciences*Guidelines for monitoring clinical academic training and progress* (September 2011) which state that whether a trainee continues on an academic programme or not needs to be captured within Deaneries' databases.
Programme start date		One deanery defaulted to the first Wednesday in August for CT1 and ST1 trainees. One Deanery noted that where known NTN start date has been used for	For some cases full GMC registration occurred post the programme start date, this is thought to relate to overseas trainees who had limited registration. Limited registration for	GMC to revise date validation rules.	

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		this field. Where NTN start date was greater than Review Date, the old NTN start date has been used. This usually applies to trainees moving from Core to Higher. Some of these do not tie into the GMC full registration date, but the dates are the ones available to us.	overseas trainees was abolished on 19/10/2007 which is when a number of trainees 'upgraded' to full registration.		
Estimated or where available actual CCT date or programme end date for core programmes or programme end date if the trainee moved programmes		One deanery calculated end date as follows: "Where known CCT date used, or if programme actually ended before 25 months actual dates used. If programme is ongoing and CCT not applicable, then formula to end programme at 25 months after start date has been used. Where a discrepancy exists the trainee has probably moved to a Higher training programme after the review period, so	Issues with this rule for LATs and IDTs.	GMC to revise date validation rules.	

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		CCT date gives Higher training end date. For trainees who work in ICM as a second specialty there is a discrepancy in that their ICM post/NTN will show a very short history in that programme. This is because their numbers are swapped as they move between ICM and their main specialties."			
GMC programme approval code to which ARCP/RITA refers	The GMC (PMETB) programme approval code	In some cases these were entered manually:" GMC Programme Approval Code = taken from PMETB code field in Programme and block entered manually" Some deaneries have not obtained ACCS approval by theme. One deanery reported applying but not receiving approval, this is being followed up. Whilst theme can be identified from the DRN, the GMC Siebel	Some deaneries need to reformat these codes to remove the "SRT" which was once present in the code.		ACCS theme approval required as requested May 2012.

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		database uses the approval codes so these will need to be correct to import ARCP data into the GMC's core database. One deanery did not provide these codes because they are recorded against the post and not the trainee and the ARCP referred to multiple posts. From a GMC perspective the approval code for the trainee's programmes must be recorded against the trainee and the assessment record.			
NTN or DRN at the point the ARCP/RITA was conducted NOT at the point this report is generated	National Training Number/ Deanery Reference Number	The NTN/DRN is not recorded against the assessment so it can be difficult to get historical NTN/DRN if the trainee's number has changed (e.g. moved from core to higher). One deanery noted: "where trainees have been assessed at a			It should be possible to obtain the NTN/DRN applicable to each assessment record.

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		particular level (for instance at CT2 for a two year training programme), the training number returned by the initial query may relate to a higher specialty training. We have attempted to check for such errors but such erroneous data may still be present."			
2nd GMC Programme Approval Code	The GMC (PMETB) programme approval code	One Deanery reported not having a code for Intensive Care Medicine, which is always a second specialty for their trainees.			All training that counts to CCT must be approved. This includes 2nd specialties.

It should be possible to obtain approval codes for all ARCP events, including those pertaining to 2nd specialties. |
| Sub-specialty to which the ARCP/RITA refers (NEW) | Sub-specialty value | | Sub-specialty field - not returned for some deaneries. There is no field for sub-specialty in the ARCP record on Intrepid v9, so information not tracked | GMC Sub-specialty group will look at including guidance on ARCP for sub-specialty in the revised Gold Guide. | The sub-specialty group will be proposing that a separate ARCP outcome is recorded for subspecialty; this should be |

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
			across the year.		implemented by December 2013 and reported on in the 2014 report.
Less than full-time	Trainee less than full-time during any part of the period covered by the ARCP (using Period Start and Period End dates)		Less Than Full Time - no equivalent field in the ARCP record of Intrepid, calculated from Post record and entered manually		LTFT status should be recorded against the ARCP record. This information is requested on the ARCP form in the Gold Guide here It is in the placement history section entitled: "Approved clinical training gained during the period to be reviewed"
Outcome	ARCP or RITA outcome. Note for outcome 8 OOPC does NOT include Maternity leave.		LAT and FTSTA trainees have a 1, 2, 5 instead of 7.1; 7.2, 7.4 or Core/Specialty trainee have a 7.1, 7.3, 7.4. Deanery reports that it is not possible to amend these outcomes on their database.	The GMC will amend these for reporting purposes in our extract of these data.	
Reason for no ARCP/RITA		On deanery reported using the following rules: Any trainees in			

Field	Description	Deanery Assumptions	Deanery issues obtaining/with validation rule	GMC action	Deanery action
		programme for less than 300 days are automatically considered 'not in programme long enough' where assessment missing. Any trainees not yet assessed without valid reason: a) check to see if data not yet entered ELSE b) considered 'review did not fall within reporting period'			

Issues raised on check for missing data against NTS

Some NTS cases were incorrect and should not have been included in the NTS return. For example they were overseas fellowships. The GMC will include a check against the NTS data in the 2013 validation tool.