

2018 ARCP Data Stakeholder Review Phase 1: Data check

Thank you for your work on the 2017/18 ARCP return.

We have now de-duplicated and cleansed the submitted data returns and ask you to review your data before we finalise the visual reports. Instructions for completing this review are included in the first section of this briefing note, ARCP data review process.

If you notice any errors or inconsistencies within your data please make us aware of these and the appropriate amends by **07/03/2019**

During the data cleansing process we have identified a number of wider issues which we want to make you aware of. Details of these and recommended actions are covered in the briefing note. Where applicable you may have additional excel files in your ARCP document folder to review.

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GMC contact for enquiries

If you have any queries regarding this process, please contact:

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ARCP data review process

Please follow the steps below in order to review your amended ARCP data set covering all outcomes awarded between 2 August 2017 and the 31 July 2018 and let us know of any data errors before **07/03/2018**.

1. Log into [GMC Connect](#), and open up your Deanery/local office's return folder '2018 ARCP data Deanery/Local office name'



Home | Logout

Logged in as Jennifer Redman-Tootell - Kent, Surrey and Sussex Deanery

User Group - Deanery [Change Group](#)

Document Folders ▶ Document Folders

Document Folder	# Documents	Last Document Date	# Posts	Last Post Date	Details
ARCP 2018 Validation Tool	3	08/10/2018 14:39	0		View Details
2018 ARCP data NHS Education for Scotland (West Region)	5	06/11/2018 12:16	0		View Details

2. This folder will contain your previously submitted files as well as a spreadsheet containing the cleansed and de-duplicated ARCP records for your Deanery/local office/region
3. Please download the file named:

'ARCP 2018 FOR REVIEW <<Deanery/local office/region name>>'
4. Please check whether the data matches your records by filtering on the ARCP_DATAYEAR for 2018 to review the most recent ARCP return
5. The data set contains all historic and current data provided by the Deanery/local office/region that we use for reporting and the relevant fields from the List of Medical Practitioners
6. The first sheet 'ARCP Outcomes' includes all of the records with an ARCP outcome and includes fields as listed in [Appendix 1](#)

7. The second sheet called 'N codes' includes reasons for no ARCP. You will find a description of the fields included in this table listed in [Appendix 2](#)

8. The third sheet called 'U codes' includes all of the records where a doctor received an unsatisfactory outcome and the reason for the unsatisfactory outcome. Where a record has been given more than one U code a separate row has been created for each U code. You will find a description of the fields included in this table listed in [Appendix 3](#)

9. In addition to the duplicate checks that are run locally using the validation tool, we conducted some central checks and de-duplicated the data. The methods used and the results of this process are detailed in [Appendix 4](#)

10. Should you find any discrepancies in the data filtered by ARCP_DATAYEAR '2018', please document them WITHIN the excel file on a separate worksheet and name the worksheet, 'readme'

11. Please also make any changes to the spreadsheet as appropriate, highlight the changes and re-upload the file via GMC Connect using the following format:

ARCP 2018 FOR REVIEW << Deanery/local office/region name>>_REVIEWED

12. Please submit this excel file via GMC Connect to the same folder you have downloaded the spreadsheet from

13. Please email educationdata@gmc-uk.org when you have submitted the file

Issue 1: Sub-specialty and Dual CCT recording

Our review of reporting ARCP sub-specialty and Dual CCT outcomes suggests that these are not being reported to us as required as part of the ARCP data collection.

The Gold Guide is clear on the need to record outcomes for each curriculum the trainee follows:

"Outcomes from the ARCP

4.74 The ARCP panel will recommend one of the eight outcomes described below for each specialty/sub-specialty for each trainee, including those on integrated clinical/academic programmes."

Sub-specialty recording

Since 2014 Deaneries and LETBs have been required to report separate outcomes for sub-specialties please see the [ARCP and RITA Data Collection 2014 - Briefing Note 1](#).

This requirement is based on [Improving the National Consistency and Approval of Sub-Specialty Training Programmes GMC Position Statement – October 2014 \(updated May 2015\)](#) where it states:

“A separate independent ARCP outcome is to be recorded for the sub-specialty training. This does not require a separate ARCP meeting to be held, but there must be appropriate input into the decision.”

We checked all sub-specialty register entries since 01/12/2015 to establish if an ARCP outcome had been submitted to us for that sub-specialty. Only 15% of subspecialty register entries (N = 3,045) has a corresponding ARCP outcome.

Dual CCT recording

It has been a requirement since 2012 for Deaneries and LETBs to return an ARCP outcome for each specialty for dual-CCT trainees. Please see the [2012 ARCP briefing note](#). This comes from the [Improving the National Consistency and Approval of Dual CCT Training Programmes position statement](#) which states:

“2.4 ARCP outcomes

There is also the need to ensure that the Annual Review of Competency Progression (ARCP) process for doctors in training undertaking more than one specialty, appropriately records progress for each specialty as if they are undertaking single specialty training.”

We checked dual-CCT and Combined programme register entries since 01/12/2015 to establish if an ARCP outcome had been submitted to us for both specialties. Dual-CCT was defined as a trainee with two specialties on the specialist register with the same date of entry.

We note that, for example, there are 161 General (Internal) Medicine specialist register entries with no ARCP and 149 for Intensive Care Medicine.

Action

If this issue affects your deanery/local office we have uploaded an excel file to your ARCP document folder called 'ARCP_missing_sub_specialty_Dual_CCT_data_2018'.

This file contains a record for any doctors who entered the specialist register in 2018/2019 with either a sub-specialty or Dual CCT and did not have an ARCP recorded for their sub-specialty or Dual CCT in the ARCP data returns. The excel file includes the names of any specialties or sub-specialties missing an ARCP and any ARCP outcomes for other specialties they are registered against. There has to be an ARCP outcome which shows that they have completed training in all specialties listed on the register.

We need to understand why outcomes for the sub-specialty or Dual CCT are not reported, in particular whether the underreporting is because the ARCP outcomes are not recorded or because there are issues in retrieving this data for submission purposes.

Please review the extracts and contact us by [email](#) to discuss these records.

In order to ensure data are correctly reported for 2017/18 ARCP progression report please review your ARCP records to check that for doctors on a sub-specialty or a Dual CCT an ARCP has been recorded in your system for the sub-specialty or second programme specialty and has been included in the 2017/18 ARCP data return.

Issue 2: Outcome 4 in the previous year

During the 2017/18 ARCP data return we received outcomes for 30 trainees who had received an outcome 4 in the same specialty in the previous reporting year. Where a trainee had an outcome 4 (released from the training programme) we would not expect these trainees to still be in training.

Action

Should the described issue affect your deanery we have uploaded an excel file to your ARCP document folder called,

<< Deanery/local office/region name>>ARCP_outcome4_201718

This file contains the ARCP records returned in the 2017/18 ARCP data return for any doctor who had an ARCP outcome 4 returned to the GMC in the 2016/17 ARCP data return.

Please review these records and contact us by [email](#) to tell us why this has occurred, and if in particular this is a problem with recording appeals against ARCP outcomes. If the 2016/17 ARCP return for these doctors needs amending please let us know.

Returning new NTN records using ARCP 2018 records

The missing data on sub-specialty and Dual CCT doctors impacts on our ability to provide you with new NTN records based on the ARCP 2018 return. If you haven't included ARCP returns for sub-specialty or Dual specialty doctors, the specialty component of the new NTN will only reflect a single specialty.

We will return the new NTN records based on your ARCP returns to you by 28th February 2019 (unless you have already used the new format in your submission).

Please check the new NTN records for all doctors who are doing a sub-specialty or Dual specialty and amend as required.

Appendix 1 ARCP Outcomes list of fields

Field name	Source	Description
GMCRefNo	Deanery/local office data collection	
ARCP_ID	GMC derived code	
PRIMARY_PMQ_WORLD_REGION	LRMP	Region in which the Doctor obtained their primary medical qualification, based on the current classification of the country.
LRMP_UKOnly_PMQAwardingBody	LRMP	UK Medical School at which the primary medical qualification was obtained.
ARCP_DATAYEAR	ARCP derived	The year in which the data were collected.
DEANERY_OR_FOUNDATION_SCHOOL	Deanery/local office ARCP data collection	The Deanery/local office which submitted the data
SPECIALTY	Deanery/local office ARCP data collection	Specialty to which the ARCP/RITA refers
ARCP_TRAININGLEVELASSESSED	Deanery/local office ARCP data collection	Level of training at the point of assessment.
ARCP_ACADEMICTRAINEE	Deanery/local office ARCP data collection	At the time of the ARCP/RITA was the trainee a defence DPMD trainee
ARCP_MILITARYTRINEE	Deanery/local office ARCP data collection	At the time of the ARCP/RITA was the trainee an academic trainee
LTFT	Deanery/local office ARCP data collection	Trainee less than full-time during any part of the period covered by the ARCP (using Period Start and Period End dates)
ARCPOUTCOME	Deanery/local office ARCP data collection	Outcome awarded at the ARCP

Field name	Source	Description
ARCP_OUTCOMETYPE	Deanery/local office ARCP data collection	Clinical
ARCP_UNSATOUTCOME	ARCP derived for reporting purposes from ARCPOutcome	Classified here Outcome awarded at the ARCP
ARCP_OUTCOME4	ARCP derived for reporting purposes from ARCPOutcome	
ARCP_OUTCOME5	ARCP derived for reporting purposes from ARCPOutcome	
ARCP_OUTCOMETARGET2ANDD	ARCP derived for reporting purposes from ARCPOutcome	
ARCP_OUTCOMEREPEAT3ANDE	ARCP derived for reporting purposes from ARCPOutcome	
ARCP_UNSATOUTCOMEEXCLUDE5	ARCP derived for reporting purposes from ARCPOutcome	
ARCP_EXAMFAILURE	ARCP derived from codes : U5 Single exam failure U6 Continual exam failure	
ARCP_UNSATOUTCOMENOTEXAM	ARCP derived for reporting purposes from ARCPOutcome and ARCP_ExamFailure	
SPECIALTY_GROUP	Derived from Specialty and Training Level	
ARCP_SPECIALTYBAND	ARCP derived for reporting purposes from ARCP_TrainingLevelAssessed	
ARCP_BENCHMARKGROUP	ARCP derived from ARCP_ProgrammeSpecialty	
REVIEWDATE	Deanery/local office ARCP data collection	Date of RITA or ARCP
PERIOD_START_DATE	Deanery/local office ARCP	Start of period that the

Field name	Source	Description
	data collection	assessment refers to
PERIOD_END_DATE	Deanery/local office ARCP data collection	End of period that the assessment refers to
ARCP_POSTTYPE	Deanery/local office ARCP data collection	<ul style="list-style-type: none">• FTSTA• LAT• Foundation• Core• Specialty

Appendix 2 ARCP N codes list of fields

Field name	Source	Description
GMCREFNO	Deanery/local office data collection	
ARCP_EVENTID	GMC derived code	
REVIEWDATE	Deanery/local office data collection	Date of RITA or ARCP
ARCP_DATAYEAR	ARCP derived	The year in which the data were collected.
ARCP_SUBMITTING_DEANERY	Deanery/local office data collection	The Deanery/local office which submitted the data
SPECIALTY	Deanery/local office data collection	Specialty to which the ARCP/RITA refers
ARCP_TRAININGLEVELASSESSED	Deanery/local office data collection	Level of training at the point of assessment.
SPECIALTYGROUP	Derived from Specialty and Training Level	
ARCP_SPECIALTYBAND	ARCP derived for reporting purposes from ARCP_TrainingLevelAssessed	
NOOUTCOMEREASON	Deanery/local office data collection	Reason for no ARCP outcome.

Appendix 3 ARCP U codes list of fields

Field name	Source	Description
GMCREFNO	Deanery/local office data collection	
ARCP_EVENTID	GMC derived code	
REVIEWDATE	Deanery/local office data collection	Date of RITA or ARCP
ARCP_DATAYEAR	ARCP derived	The year in which the data were collected.
ARCP_SUBMITTING_DEANERY	Deanery/local office data collection	The Deanery/local office which submitted the data
SPECIALTY	Deanery/local office data collection	Specialty to which the ARCP/RITA refers
ARCP_TRAININGLEVELASSESSED	Deanery/local office data collection	Level of training at the point of assessment.
SPECIALTYGROUP	Derived from Specialty and Training Level	
ARCP_SPECIALTYBAND	ARCP derived for reporting purposes from ARCP_TrainingLevelAssessed	
ARCP_OUTCOME	Deanery/local office data collection	
ARCP_OUTCOMETYPE	Deanery/local office ARCP data collection	
REASON_CODE	Deanery/local office data collection	
REASON_DESCRIPTION		

Appendix 4 Methods of de-duplicating records

DUPLICATE_TYPE	Number of cases	Number of cases kept
1 - ExactDuplicate	4	2
12 - Level assessed and grade at next rotation	4	2
16 - ARCP, Outcome and N codes	42	21
17 - ARCP, Outcome and N codes, Grade at next rotation	18	9
18 - ARCP, Outcome and N codes, U codes, Grade at next rotation	16	9
2 - Duplicate outcome	36	36
3 - level Duplicate	8	4
6 - Outcome and Grade at next rotation	530	530
7 - Outcome and Grade at next rotation and U codes	112	112
8 - Outcome and Grade at next rotation, TOOT and U codes	68	68
TOTAL	838	793
Manually reviewed	103	65