

5 - Revalidation: Progress Report - Annex A

1. The Revalidation Project Initiation Document (PID) sets out 12 work streams with specific deliverables assigned to each. The GMC is the lead organisation in relation to delivering a number of these work streams. The Departments of Health in each of the four countries of the UK and the medical Royal Colleges have agreed their role in relation to delivering the remaining work streams.

2. For reporting purposes we have listed the work streams in the order in which they appear in the PID and provided an update on developments since the October 2009 Council meeting.

Project	Lead Organisation	Update
<p>Project 1</p> <p>The Legislative Framework</p> <p>The primary and secondary legislation that needs to be in place, including subsidiary statutory rules and regulations</p>	<ul style="list-style-type: none"> Department of Health (England) 	<ul style="list-style-type: none"> DH(E) concluded the consultation on the regulations and guidance for the Responsible Officer role (See further details in update on Project 6 below).
<p>Project 2</p> <p>Introduction of the Licence to Practise</p>	<ul style="list-style-type: none"> GMC 	<ul style="list-style-type: none"> The GMC successfully introduced the licence to practise on 16 November 2009. 97.3% of doctors responded to the campaign. 218,154 doctors were granted a licence to practise. 12,907 doctors remain registered without a licence.

<p>Project 3</p> <p>Develop standards and a framework for appraisal and assessment based on <i>Good Medical Practice</i></p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • Framework drafted agreed and published in 2008. • The Buckinghamshire project, evaluating the type and quality of supporting information made available in the GP appraisal process, has concluded and a report was presented to the UK Revalidation Programme Board in October 2009. • The Northern Ireland secondary care project is progressing and is due to conclude in December with a final report in early 2010. • Pilot appraisals, based on the GMP framework, are continuing in the Mersey Deanery. The project is on track to produce an interim report in December with a final report published in early 2010.
<p>Project 4</p> <p>Multi-source feedback</p> <p>Validated MSF tools and agreed principles and criteria that must apply to any patient and colleague questionnaires used in the revalidation process</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The draft principles and criteria for MSF were considered by the Continued Practice Board in September. A final draft will go to the Board for agreement before consultation in 2010. • Research on the GMC patient and colleague questionnaires is in the data collection stage. Final report due early 2011.

Project 5

Revised system of appraisal

Deliver a revised system of appraisal for all licensed doctors with a standard module based on the *Good Medical Practice* framework

- Departments of Health in England, Wales, Scotland and Northern Ireland

- Projects aimed at either assessing existing appraisal systems or testing aspects of a revised appraisal process are underway in all four countries.
- The NHS Revalidation Support Team (RST) has developed a framework to support organisations in developing and strengthening the appraisal process. The 'Strengthening Medical Appraisal' document has been circulated to stakeholders for informal consultation.
- The RST is working with SHAs and Trusts in England to test their internal quality assurance tool for medical appraisal (AQMAR) at organisational level.
- The RST has developed a strategy for pathfinder pilots and has received a large number of expressions of interest from NHS organisations keen to be involved. The pilots are now expected to begin in April 2010 and will incorporate the specialist standards and evaluation methods proposed by the medical Royal Colleges and agreed by the GMC, subject to consultation.

<p>Project 6</p> <p>Responsible Officers</p> <p>Creating a network of responsible officers</p>	<ul style="list-style-type: none"> • Department of Health (England) • Devolved Administrations 	<ul style="list-style-type: none"> • Consultation on RO regulations and guidance has concluded. Our response was signed off by the Chair and submitted in late October. • Discussion continuing with DH(E) on ensuring that all doctors in practice in the UK can link to a RO • ROs to be in place in all four countries by late 2010.
<p>Project 7</p> <p>GMC Affiliates</p> <p>The establishment of a network of GMC affiliates</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The GMC Affiliates pilots in London and Yorkshire have concluded. <p>The draft final report was signed off by the DH(E)'s affiliates sub-group at a meeting on 27 November 2009. The report will be considered by the FTP Reference Group on 15 December 2009 and by Council at its first meeting in 2010.</p>

<p>Project 8</p> <p>Recertification</p> <p>Develop standards and assessment or evaluation methods for doctors on the GP and specialist registers</p>	<ul style="list-style-type: none"> Academy of Medical Royal Colleges (AoMRC) and Royal Colleges 	<ul style="list-style-type: none"> All of the medical Royal Colleges have now submitted their proposals to us for consideration and these proposals are being considered by way of a process agreed by the Continued Practice Board (see covering paper for further detail).
<p>Project 9</p> <p>Quality assurance arrangements</p> <p>QA, auditing and sampling process to ensure that the processes are working effectively and revalidation recommendations are consistent across specialties and sectors</p>	<ul style="list-style-type: none"> GMC 	<ul style="list-style-type: none"> Work is progressing with the systems regulators to develop draft core quality assurance standards. Liaison meetings are taking place with each systems regulator/quality improvement body. A Systems Regulators Revalidation Group has been established to take this work forward. We are working with RQIS (Northern Ireland) and NQIS (Scotland) on proposals to undertake some piloting work in 2010.

<p>Project 10</p> <p>A process for periodic delivery of a single recommendation to the GMC</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The GMC/Academy Group has proposed a model for the delivery of single recommendation. The model was provisionally endorsed by the Continued Practice Board in September 2009 subject to consultation in 2010.
<p>Project 11</p> <p>A system for remediation and rehabilitation for those doctors who fall short of the required standards</p>	<ul style="list-style-type: none"> • Departments of Health in England, Wales, Scotland and Northern Ireland. 	<ul style="list-style-type: none"> • AoMRC established working group which is looking at role of Colleges and others in remediation. • Assessment of requirements planned and taking place in all four countries of the UK. • Pilot work commenced with NHS Lothian in Scotland to identify a framework for remediation and rehabilitation.
<p>Project 12</p> <p>IT</p> <p>Standardised electronically accessible appraisal documentation and e-portfolios</p>	<ul style="list-style-type: none"> • Department of Health in England, Wales, Scotland and Northern Ireland 	<ul style="list-style-type: none"> • DH(E) scoping study was completed in September 2009. The project has broadened beyond IT issues to consider flows of information more generally. Key issues (for example information flows where doctors practise in more than one setting) will be tested during the pathfinder pilot programme.