

## 6 - Revalidation: Progress Report - Annex A

1. The Revalidation Project Initiation Document (PID) sets out 12 work streams with specific deliverables assigned to each. The GMC is the lead organisation in relation to delivering a number of these work streams. The Departments of Health in each of the four countries of the UK and the medical Royal Colleges have agreed their role in relation to delivering the remaining work streams.

2. For reporting purposes, we have listed the work streams in the order in which they appear in the PID and provided an update on developments since the February 2010 Council meeting.

Project	Lead Organisation	Update
<p><b>Project 1</b></p> <p>The Legislative Framework</p> <p>The primary and secondary legislation that needs to be in place, including subsidiary statutory rules and regulations</p>	<ul style="list-style-type: none"> <li>Department of Health (England)</li> </ul>	<ul style="list-style-type: none"> <li>DH(E) concluded the consultation on the regulations and guidance for the Responsible Officer role (See further details in update on Project 6 below). DH(E) has drafted the regulations and now aims to lay the legislation before Parliament in June 2010.</li> <li>DHSSPS consultation on the Responsible Officer role concluded on 5 February 2010.</li> </ul>
<p><b>Project 2</b></p> <p>Introduction of the Licence to Practise</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>This workstream has concluded successfully and is now closed.</li> </ul>

<p><b>Project 3</b></p> <p>Develop standards and a framework for appraisal and assessment based on <i>Good Medical Practice</i></p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• Framework drafted, agreed and published in 2008.</li> <li>• The Northern Ireland secondary care project has now concluded and a final report was presented to the UK Revalidation Programme Board in February 2010.</li> <li>• The GMP Framework is included in the revalidation consultation document and forms the basis for the work of the Colleges and Faculties on standards and supporting information for specialists and general practitioners.</li> </ul>
<p><b>Project 4</b></p> <p>Multi-source feedback</p> <p>Validated MSF tools and agreed principles and criteria that must apply to any patient and colleague questionnaires used in the revalidation process</p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• The draft principles and criteria for MSF were agreed by the Continued Practice Board in December 2009. The principles and criteria are also included in the consultation document.</li> <li>• Research on the GMC patient and colleague questionnaires is in the data collection stage. Interim report presented to the Continued Practice Board on 9 February 2010. Final report due early 2011.</li> <li>• Professor John Campbell presented his interim findings to the Research Reference Group in January 2010.</li> </ul>

<p><b>Project 5</b></p> <p>Revised system of appraisal</p> <p>Deliver a revised system of appraisal for all licensed doctors with a standard module based on the <i>Good Medical Practice</i> framework</p>	<ul style="list-style-type: none"> <li>• Departments of Health in England, Wales, Scotland and Northern Ireland</li> </ul>	<ul style="list-style-type: none"> <li>• Projects aimed at either assessing existing appraisal systems or testing aspects of a revised appraisal process are underway in all four countries.</li> <li>• The NHS Revalidation Support Team's (RST) 'Strengthening Medical Appraisal' document has been circulated to stakeholders for informal consultation and will be tested through the pathfinder pilots. The paper has now been published on the RST website.</li> <li>• The RST is working with SHAs and Trusts in England to test their internal quality assurance tool for medical appraisal (AQMAR) at organisational level.</li> <li>• Pathfinder pilot sites in England announced by the Secretary of State in January 2010. The pilots will involve approximately 3,000 doctors.</li> <li>• Appraisal Training Scheme Pilot commencing in Scotland. Appraiser Trainer recruitment underway.</li> <li>• Wales secondary care appraisal pilots in Hywel Dda Health Board and ABM University Health Board are underway.</li> </ul>
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<p><b>Project 6</b></p> <p>Responsible Officers</p> <p>Creating a network of responsible officers</p>	<ul style="list-style-type: none"> <li>• Departments of Health in England, Northern Ireland, Scotland and Wales</li> </ul>	<ul style="list-style-type: none"> <li>• ROs to be in place in all four countries by late 2010.</li> <li>• DH(E) hopeful that regulations will be laid before Parliament in June 2010 and they have confirmed that they still expect ROs to be in place by October 2010.</li> </ul>
<p><b>Project 7</b></p> <p>GMC Affiliates</p> <p>The establishment of a network of GMC affiliates</p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• The GMC Affiliates pilots in London and Yorkshire have concluded.</li> <li>• The draft final report was signed off by the DH(E)'s affiliates sub-group at a meeting on 27 November 2009.</li> <li>• Affiliates will be further tested through two of the England Pathfinder Pilots, which started March 2010.</li> <li>• Testing of Affiliates as part of the Yorkshire and Humberside pilot will build on the existing Affiliates pilot work.</li> <li>• The focus of the West Midlands pilot is ROs. Testing of Affiliates work will therefore look at the relationship with ROs in this project.</li> </ul>

<p><b>Project 8</b></p> <p>Recertification</p> <p>Develop standards and assessment or evaluation methods for doctors on the GP and specialist registers.</p>	<ul style="list-style-type: none"> <li>Academy of Medical Royal Colleges (AoMRC) and Royal Colleges</li> </ul>	<ul style="list-style-type: none"> <li>Submissions from the 14 Colleges and Faculties agreed by the Continued Practice Board in December 2009 for the purposes of wider consultation.</li> <li>The Academy will collaborate with the DH(E) and the RST on integrating the specialist elements into the pathfinder pilots in England.</li> </ul>
<p><b>Project 9</b></p> <p>Quality assurance arrangements</p> <p>QA, auditing and sampling process to ensure that the processes are working effectively and revalidation recommendations are consistent across specialties and sectors</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>Work is progressing with the systems regulators to develop draft core quality assurance policy and criteria.</li> <li>Liaison meetings are taking place with each systems regulator/quality improvement body.</li> <li>A Systems Regulators Revalidation Group has been established to take this work forward.</li> </ul>
<p><b>Project 10</b></p> <p>A process for periodic delivery of a single recommendation to the GMC</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>The GMC/Academy Group has proposed a model for the delivery of single recommendation. The model was provisionally endorsed by the Continued Practice Board in September 2009 and is included in the revalidation consultation.</li> </ul>

<p><b>Project 11</b></p> <p>A system for remediation and rehabilitation for those doctors who fall short of the required standards</p>	<ul style="list-style-type: none"> <li>• Departments of Health in England, Wales, Scotland and Northern Ireland.</li> </ul>	<ul style="list-style-type: none"> <li>• AoMRC established working group which is looking at role of Colleges and others in remediation reported in late 2009.</li> <li>• DH(E) established a Remediation Steering Group chaired by Hugo Mascie-Taylor which met for the first time in January 2010. The GMC is represented on the Group.</li> <li>• Pilot work commenced with NHS Lothian in Scotland to identify a framework for remediation and rehabilitation.</li> </ul>
<p><b>Project 12</b></p> <p>IT</p> <p>Standardised electronically accessible appraisal documentation and e-portfolios</p>	<ul style="list-style-type: none"> <li>• Department of Health in England, Wales, Scotland and Northern Ireland</li> </ul>	<ul style="list-style-type: none"> <li>• DH(E) scoping study was completed in September 2009. The project has broadened beyond IT issues to consider flows of information more generally. Key issues (for example information flows where doctors practise in more than one setting) will be tested during the pathfinder pilot programme.</li> <li>• DH(E) hosted a Medical revalidation IT system standards workshop on 2 February 2010 to commence work towards UK-wide IT standards for revalidation systems. The four countries, Colleges and GMC were represented.</li> <li>• UKRPB considered IT, appraisal toolkits and interoperability at 24 February 2010 meeting.</li> </ul>