

5b: Revalidation: Progress Report - Annex A

1. The table below outlines the progress made against the 12 revalidation workstreams since the last UK Revalidation Programme Board meeting on 24 February 2010.

| Project | Lead Organisation | Update |
|---|--|--|
| <p>Project 1</p> <p>The Legislative Framework</p> <p>The primary and secondary legislation that needs to be in place, including subsidiary statutory rules and regulations</p> | <ul style="list-style-type: none"> • Department of Health (England) | <ul style="list-style-type: none"> • DH(E) has drafted the Responsible Officer (RO) regulations. At the time of preparing this report DH (E) were planning to lay the regulations before Parliament in July 2010. • Draft regulations have been consulted on in Wales and Scotland. • Responsible Officer regulations were debated and passed by NI Assembly on 22 June 2010. |
| <p>Project 2</p> <p>Introduction of the Licence to Practise</p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • This workstream has concluded successfully and is now closed. |

| | | |
|---|--|--|
| <p>Project 3</p> <p>Develop standards and a framework for appraisal and assessment based on <i>Good Medical Practice</i></p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • The GMP Framework was included in the revalidation consultation, which closed on 4 June 2010. The framework forms the basis for the work of the Colleges and Faculties on standards and supporting information for specialists and general practitioners. • Further testing of the GMP Framework is being conducted through the England Pathfinder pilots. |
| <p>Project 4</p> <p>Multi-source feedback</p> <p>Validated MSF tools and agreed principles and criteria that must apply to any patient and colleague questionnaires used in the revalidation process</p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • The principles and criteria for MSF were consulted on as part of the wider revalidation consultation, which closed on 4 June 2010. A preliminary consultation report will be presented to GMC Council in July 2010 and a final report in September 2010. • Research on the GMC patient and colleague questionnaires is continuing. Final report due early 2011. |
| <p>Project 5</p> <p>Revised system of appraisal</p> <p>Deliver a revised system of appraisal for all licensed doctors with a standard module based on the <i>Good Medical Practice</i> framework</p> | <ul style="list-style-type: none"> • Departments of Health in England, Wales, Scotland and Northern Ireland | <ul style="list-style-type: none"> • Pathfinder pilots in England started in March 2010 and have now been extended for a further year to March 2012. 2,300 doctors have been recruited to participate to date, nearing the target of 3,000 doctors. The pilots will test how strengthened medical appraisal will work in practice. |

| | | |
|---|--|--|
| | | <ul style="list-style-type: none"> • Northern Ireland Primary Care Appraisal readiness review and RQIA review in secondary care are underway. • A new appraisal form in Scotland is being tested in the Highlands and other areas. • A draft appraisal guidance handbook including an appraiser job description and person specification has been produced in Scotland. It has been circulated for comment. Appraisal Training Scheme Pilot is progressing with Appraiser Trainer courses scheduled for Spring 2010. • Wales secondary care appraisal pilots in Hywel Dda Health Board and ABM University Health Board are continuing. Initial feedback on appraiser training has been positive. |
| <p>Project 6</p> <p>Responsible Officers</p> <p>Creating a network of responsible officers</p> | <ul style="list-style-type: none"> • Departments of Health in England, Northern Ireland, Scotland and Wales | <ul style="list-style-type: none"> • ROs to be in place in all four countries by October 2010. • ROs in Wales now in post in all Health Boards and Velindre NHS Trust. • DH(E) planning to lay regulations before Parliament in July 2010 and they have confirmed that they still expect ROs to be in place by October 2010. |

| | | |
|--|--|--|
| <p>Project 7</p> <p>GMC Affiliates</p> <p>The establishment of a network of GMC affiliates</p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • Affiliates are being tested through two of the England Pathfinder Pilots, Yorkshire and Humberside and West Midlands. In the West Midlands the pilot will look at the relationship between ROs and Affiliates. |
| <p>Project 8</p> <p>Recertification</p> <p>Develop standards and assessment or evaluation methods for doctors on the GP and specialist registers.</p> | <ul style="list-style-type: none"> • Academy of Medical Royal Colleges (AoMRC) and Royal Colleges | <ul style="list-style-type: none"> • Submissions from the 14 Colleges and Faculties on standards and supporting information for specialists and general practitioners were consulted on as part of the wider revalidation consultation, which closed 4 June 2010. A preliminary report will be presented to GMC Council in July 2010 and a final report in September 2010. • The Academy is working with the DH(E) and the RST to integrate the specialist elements into the pathfinder pilots in England. • Workshops with doctors in non-clinical settings have taken place throughout May and June 2010 with representative organisations. |
| <p>Project 9</p> <p>Quality assurance arrangements</p> <p>QA, auditing and sampling process to ensure that the</p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • Work progressing on development of a QA model for revalidation • Continued Practice Board agreed on 13 May 2010 to develop a generic QA self-assessment toolkit for healthcare organisations, |

| | | |
|--|---|---|
| <p>processes are working effectively and revalidation recommendations are consistent across specialties and sectors</p> | | <p>based around agreed set of QA criteria and key indicators; and to GMC continuing to work with systems regulators to develop their role in QA of revalidation.</p> <ul style="list-style-type: none"> • Liaison meetings continuing with systems regulators and with Colleges/Academy on QA issues |
| <p>Project 10</p> <p>A process for periodic delivery of a single recommendation to the GMC</p> | <ul style="list-style-type: none"> • GMC | <ul style="list-style-type: none"> • The GMC/Academy Group has proposed a model for the delivery of single recommendation which was consulted on as part of the wider revalidation consultation. The consultation closed 4 June 2010. A preliminary report will be presented to GMC Council in July 2010 and a final report in September 2010. |
| <p>Project 11</p> <p>A system for remediation and rehabilitation for those doctors who fall short of the required standards</p> | <ul style="list-style-type: none"> • Departments of Health in England, Wales, Scotland and Northern Ireland. | <ul style="list-style-type: none"> • DH(E) established a Remediation Steering Group chaired by Hugo Mascie-Taylor which met for the first time in January 2010. The GMC is represented on the Group. • Pilot work commenced with NHS Lothian in Scotland to identify a framework for remediation and rehabilitation. • In Northern Ireland, a model of GMC liaison has been discussed with local and national GMC representatives. |

| | | |
|---|---|---|
| | | <ul style="list-style-type: none"> • Interviews with Medical Directors and other stakeholders in Wales have been undertaken to review remediation provisions. Remediation questionnaires have also been completed by workforce leads and Health Board stakeholders. These are now being analysed. |
| <p>Project 12</p> <p>IT</p> <p>Standardised electronically accessible appraisal documentation and e-portfolios</p> | <ul style="list-style-type: none"> • Department of Health in England, Wales, Scotland and Northern Ireland | <ul style="list-style-type: none"> • An IT working group has been established to develop UK-wide IT standards for systems that will support revalidation. The group held its first meeting on 30 March 2010 and it continues to meet weekly. • Scoping work to adapt the Scottish Online Appraisal Resource (SOAR) system for secondary care appraisal has been completed. The work will now be taken forward by a suitable IT company and invitations to tender will be issued as soon as funding has been secured. • Testing of minimum data set in Northern Ireland in primary and secondary care sectors is ongoing. |