

## 5 - Revalidation: Progress Report - Annex A

1. The Revalidation Project Initiation Document (PID) sets out 12 work streams with specific deliverables assigned to each. The GMC is the lead organisation in relation to delivering a number of these work streams. The health departments in each of the four countries of the UK and the medical Royal Colleges have agreed their role in relation to delivering the remaining work streams.

2. For reporting purposes, we have listed the work streams in the order in which they appear in the PID and provided an update on developments since the Council meeting on 31 March 2010.

Project	Lead Organisation	Update
<p><b>Project 1</b></p> <p>The Legislative Framework</p> <p>The primary and secondary legislation that needs to be in place, including subsidiary statutory rules and regulations</p>	<ul style="list-style-type: none"> <li>Department of Health (England)</li> </ul>	<ul style="list-style-type: none"> <li>DH(E) has drafted the Responsible Officer (RO) regulations and now aims to lay the regulations before Parliament in June 2010.</li> <li>Northern Ireland will lay their RO regulations before the Assembly in May 2010.</li> </ul>
<p><b>Project 2</b></p> <p>Introduction of the Licence to Practise</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>This workstream has concluded successfully and is now closed.</li> </ul>
<p><b>Project 3</b></p> <p>Develop standards and a framework for</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>The GMP Framework is included in the revalidation consultation document and forms the</li> </ul>

<p>appraisal and assessment based on <i>Good Medical Practice</i></p>		<p>basis for the work of the Colleges and Faculties on standards and supporting information for specialists and general practitioners.</p> <ul style="list-style-type: none"> <li>• Further testing of the GMP Framework is being conducted through the England Pathfinder pilots.</li> </ul>
<p><b>Project 4</b></p> <p>Multi-source feedback</p> <p>Validated MSF tools and agreed principles and criteria that must apply to any patient and colleague questionnaires used in the revalidation process</p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• The principles and criteria for MSF are currently being consulted on as part of the wider revalidation consultation.</li> <li>• Research on the GMC patient and colleague questionnaires is continuing. An interim report was presented to the Continued Practice Board on 9 February 2010. Final report due early 2011.</li> </ul>
<p><b>Project 5</b></p> <p>Revised system of appraisal</p> <p>Deliver a revised system of appraisal for all licensed doctors with a standard module based on the <i>Good Medical Practice</i> framework</p>	<ul style="list-style-type: none"> <li>• Departments of Health in England, Wales, Scotland and Northern Ireland</li> </ul>	<ul style="list-style-type: none"> <li>• 10 pathfinder pilot sites in England announced by the Secretary of State in January 2010. The pilots, which started in March 2010, involves approximately 3,000 doctors and will test how strengthened medical appraisal will work in practice.</li> <li>• New appraisal form in Scotland will be tested in Highland Pilot and other areas.</li> <li>• A draft appraisal guidance handbook including an appraiser job description and person specification has been produced in</li> </ul>

		<p>Scotland. It is currently being circulated for comment. Appraisal Training Scheme Pilot is progressing with Appraiser Trainer courses scheduled for Spring 2010.</p> <ul style="list-style-type: none"> <li>Wales secondary care appraisal pilots in Hywel Dda Health Board and ABM University Health Board are underway.</li> </ul>
<p><b>Project 6</b></p> <p>Responsible Officers</p> <p>Creating a network of responsible officers</p>	<ul style="list-style-type: none"> <li>Departments of Health in England, Northern Ireland, Scotland and Wales</li> </ul>	<ul style="list-style-type: none"> <li>ROs to be in place in all four countries by late 2010.</li> <li>Responsible Officers in Wales now in post in all Health Boards and Velindre NHS Trust.</li> <li>DH(E) hopeful that regulations will be laid before Parliament in June 2010 and they have confirmed that they still expect ROs to be in place by October 2010.</li> </ul>
<p><b>Project 7</b></p> <p>GMC Affiliates</p> <p>The establishment of a network of GMC affiliates</p>	<ul style="list-style-type: none"> <li>GMC</li> </ul>	<ul style="list-style-type: none"> <li>Affiliates will be further tested through two of the England Pathfinder Pilots, which started in March 2010.</li> <li>Testing of Affiliates as part of the Yorkshire and Humberside pilot will build on the existing Affiliates pilot work.</li> <li>The focus of the West Midlands pilot is ROs. Testing of Affiliates here will look at the relationship between ROs and</li> </ul>

		Affiliates.
<p><b>Project 8</b></p> <p>Recertification</p> <p>Develop standards and assessment or evaluation methods for doctors on the GP and specialist registers.</p>	<ul style="list-style-type: none"> <li>• Academy of Medical Royal Colleges (AoMRC) and Royal Colleges</li> </ul>	<ul style="list-style-type: none"> <li>• Submissions from the 14 Colleges and Faculties on standards and supporting information for specialists and general practitioners are currently being consulted on as part of the wider revalidation consultation.</li> <li>• The Academy is working with the DH(E) and the RST to integrate the specialist elements into the pathfinder pilots in England.</li> <li>• Engagement with doctors in non-clinical settings has commenced with representative organisations such as BAMB, Academy of Medical Sciences and BMA Committees</li> </ul>

<p><b>Project 9</b></p> <p>Quality assurance arrangements</p> <p>QA, auditing and sampling process to ensure that the processes are working effectively and revalidation recommendations are consistent across specialties and sectors</p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• Work is progressing with the systems regulators to develop a draft set of revalidation quality assurance criteria and key indicators for healthcare organisations as well as an outline quality assurance model. Both the QA criteria and the QA model were considered by the Continued Practice Board on 13 May 2010 and will be shared with key stakeholders.</li> <li>• Liaison meetings are continuing to take place with each systems regulator/quality improvement body.</li> </ul>
<p><b>Project 10</b></p> <p>A process for periodic delivery of a single recommendation to the GMC</p>	<ul style="list-style-type: none"> <li>• GMC</li> </ul>	<ul style="list-style-type: none"> <li>• The GMC/Academy Group has proposed a model for the delivery of single recommendation which is currently being consulted on as part of the wider revalidation consultation.</li> </ul>
<p><b>Project 11</b></p> <p>A system for remediation and rehabilitation for those doctors who fall short of the required standards</p>	<ul style="list-style-type: none"> <li>• Departments of Health in England, Wales, Scotland and Northern Ireland.</li> </ul>	<ul style="list-style-type: none"> <li>• DH(E) established a Remediation Steering Group chaired by Hugo Mascie-Taylor which met for the first time in January 2010. The GMC is represented on the Group.</li> <li>• Pilot work commenced with NHS Lothian in Scotland to identify a framework for remediation and rehabilitation.</li> </ul>

<p><b>Project 12</b></p> <p>IT</p> <p>Standardised electronically accessible appraisal documentation and e-portfolios</p>	<ul style="list-style-type: none"> <li>• Department of Health in England, Wales, Scotland and Northern Ireland</li> </ul>	<ul style="list-style-type: none"> <li>• An IT working group has been established to develop UK-wide IT standards for systems that will support revalidation. The group held its first meeting on 30 March 2010 and will continue to meet weekly.</li> <li>• Scoping work to adapt the Scottish Online Appraisal Resource (SOAR) system for secondary care appraisal has been completed. The work will now be taken forward by a suitable IT company and invitations to tender will be issued as soon as funding has been secured.</li> </ul>
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