

4b: Revalidation: Progress Report - Annex A

1. The Revalidation Project Initiation Document sets out 12 work streams with specific deliverables assigned to each. The GMC is the lead organisation in relation to delivering a number of these work streams. The Departments of Health in each of the four countries of the UK and the medical Royal Colleges have agreed their role in relation to delivering the remaining work streams.

2. For reporting purposes we have listed the work streams in the order in which they appear in the PID and provided an update on developments since the Council meeting on 8 July 2009.

Project	Lead Organisation	Update
<p>Project 1</p> <p>The Legislative Framework</p> <p>The primary and secondary legislation that needs to be in place, including subsidiary statutory rules and regulations</p>	<ul style="list-style-type: none"> Department of Health (England) 	<ul style="list-style-type: none"> DH(E) published the draft RO rules and guidance for consultation on 25 August 2009 (see item 4a on the agenda for this meeting).
<p>Project 2</p> <p>Introduction of the Licence to Practise</p>	<ul style="list-style-type: none"> GMC 	<ul style="list-style-type: none"> Licences to practise will be introduced on 16 November 2009. The vast majority of registered doctors have now responded to the licensing campaign (96% as at 6 October 2009). 197,700 doctors have so far opted for Registration with a licence (94% of total received).

<p>Project 3</p> <p>Develop standards and a framework for appraisal and assessment based on <i>Good Medical Practice</i></p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • Framework drafted agreed and published in 2008. • The report of the Buckinghamshire project, evaluating the type and quality of supporting information made available in the GP appraisal process, was presented to the UK Revalidation Programme Board on 14 October 2009. • The Northern Ireland secondary care project is progressing and is due to conclude in the Autumn. A final report will be available in the last quarter of 2009. <p>Pilot appraisals, based on the GMP framework, are underway in the Mersey Deanery. The project is on track to produce an interim report in November/December with a final report published in early 2010.</p>
<p>Project 4</p> <p>Multi-source feedback</p> <p>Validated MSF tools and agreed principles and criteria that must apply to any patient and colleague questionnaires used in the revalidation process</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The draft principles and criteria for MSF were considered by the Continued Practice Board in September. A final draft will go to the Board for agreement later this year, prior to consultation in 2010. • Research on the GMC patient and colleague questionnaires is in the data collection stage. Final report due early 2011.

<p>Project 5</p> <p>Revised system of appraisal</p> <p>Deliver a revised system of appraisal for all licensed doctors with a standard module based on the <i>Good Medical Practice</i> framework</p>	<ul style="list-style-type: none"> • Departments of Health In England, Scotland, Wales and Northern Ireland 	<ul style="list-style-type: none"> • Projects aimed at either assessing existing appraisal systems or testing aspects of a revised appraisal process are underway in all four countries. • The NHS Revalidation Support Team (RST) has developed a framework to support organisations in developing and strengthening the appraisal process. The 'Strengthening Medical Appraisal' document has been circulated to stakeholders for informal consultation. • The RST is working with SHAs and Trusts in England to test their internal quality assurance tool for medical appraisal (AQMAR) at organisational level. • The RST has recently received a large number of expressions of interest from NHS organisations wishing to be involved in the next stage of wide-scale piloting of the processes that will underpin revalidation. Pathfinder pilots are planned to commence in early 2010 (see discussion in covering paper).
<p>Project 6</p> <p>Responsible Officers</p>	<ul style="list-style-type: none"> • Departments of Health in England, 	<ul style="list-style-type: none"> • RO regulations and guidance were published

<p>Creating a network of responsible officers</p>	<p>Scotland, Wales and Northern Ireland</p>	<p>for consultation on 25 August 2009.</p> <ul style="list-style-type: none"> • ROs to be in place in all four countries by late 2010. • New NHS medical director posts appointed in Wales incorporating role of Responsible Officer.
<p>Project 7</p> <p>GMC Affiliates</p> <p>The establishment of a network of GMC affiliates</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The GMC Affiliates pilots in London and Yorkshire concluded on 30 September 2009. • The interim report was published on 29 April 2009. A final report will be brought to Council in December 2009.
<p>Project 8</p> <p>Recertification</p> <p>Develop standards and assessment or evaluation methods for doctors on the GP and specialist registers</p>	<ul style="list-style-type: none"> • Academy of Medical Royal Colleges (AoMRC) and Royal Colleges 	<ul style="list-style-type: none"> • AoMRC currently developing draft specialty standards. Following informal consultation with stakeholders, we expect the submissions to be made to the GMC in November 2009. • The Continued Practice Board has agreed a process to consider the specialty standards frameworks. The outcome will be reported to Council in February 2010 leading to consultation shortly thereafter.

<p>Project 9</p> <p>Quality assurance arrangements</p> <p>QA, auditing and sampling process to ensure that the processes are working effectively and revalidation recommendations are consistent across specialties and sectors</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • Work is progressing with the systems regulators to develop draft core quality assurance standards. • Liaison meetings are taking place with each systems regulator/quality improvement body. • A Systems Regulators Revalidation Group has been established to take this work forward, and held its first meeting on 10 June 2009.
<p>Project 10</p> <p>A process for periodic delivery of a single recommendation to the GMC</p>	<ul style="list-style-type: none"> • GMC 	<ul style="list-style-type: none"> • The GMC/Academy Group has proposed a model for the delivery of single recommendation. The model was provisionally endorsed by the Continued Practice Board in September 2009, subject to consultation in 2010.
<p>Project 11</p> <p>A system for remediation and rehabilitation for those doctors who fall short of the required standards</p>	<ul style="list-style-type: none"> • Departments of Health in England, Wales, Scotland and Northern Ireland 	<ul style="list-style-type: none"> • AoMRC established working group which is looking at role of Colleges and others in remediation. • Assessment of requirements planned in 2009 for all four countries of the UK. • Pilot work commenced with NHS Lothian in Scotland to identify a framework for remediation and rehabilitation.

<p>Project 12</p> <p>IT</p> <p>Standardised electronically accessible appraisal documentation and e-portfolios</p>	<ul style="list-style-type: none"> • Department of Health (England) 	<ul style="list-style-type: none"> • Department of Health scoping study was completed in September 2009. The project has broadened beyond IT issues to consider flows of information more generally. Key issues (for example, information flow issues where doctors practise in more than one organisation) will be tested during the pathfinder pilot programme.
---	--	--